

MBA414: MUTUAL FUND

Teaching Scheme	Examination Scheme
Lectures: 4 hrs./Week Tutorials: 1 hrs./Week Credits: 4	Class Test -12Marks Teachers Assessment - 6Marks Attendance – 12 Marks End Semester Exam – 70 marks

Course Objectives:

Mutual funds can play an important role in Indian Economy. The course aims to help the students in:

1. Analyze the development of Mutual funds
2. Understanding the extent to which Investors are Protected
3. Analyze the Mutual fund Regulation
4. Know the recent developments in Mutual fund Industry

HOURS:40

UNIT I (10 Hrs): Mutual Fund Structures; Fund of Funds, Exchange Traded Funds, Real Estate Mutual Funds, Venture Capital Funds, Private Equity Funds, International funds
Legal and Regulatory Environment of Mutual Funds; Regulatory Framework for Real Estate Mutual Funds, Investment Norms for Mutual Funds, SEBI Norms for Mutual Funds' investment in Derivatives, SEBI norms with respect to change in controlling interest of an AMC, Changes in Mutual Fund Schemes; Fund Distribution and Sales Practices, Internet and Mobile Technologies, Stock Exchanges


UNIT II (10 Hrs): Investment and Risk Management: Fundamental Analysis, Technical Analysis, Quantitative Analysis, Debt Investment Management, Issues for a Debt Fund Manager, Derivatives, Application of Derivatives
Valuation of Schemes: Equities, Debt, Non-Performing Assets and Provisioning for NPAs, Gold, Real Estate, Accounting; Net Asset Value, Investor Transactions, Distributable Reserves, Unique Aspects of Real Estate Schemes Accounting

UNIT III(10 Hrs): Taxation; Taxes for AMCs: Securities Transaction Tax and Income Distribution Tax, Taxes for Investors: Securities Transaction Tax, Taxes on Dividend, Capital Gains, Set-off, and Carry Forward of Losses, Dividend Stripping and Bonus Stripping.
Investor Services; New Fund Offer, Open-end Fund, Closed-end Fund, Exchange Traded Fund, Nomination and Pledge

UNIT IV (10 Hrs): Scheme Evaluation; Measures of Return, Measures of Risk, Benchmarks and Relative Returns, Risk-adjusted Returns, Limitations of Quantitative Evaluation
Asset Classes and Alternate Investment Products: Historical Returns, Perspectives on Asset Class Returns, Alternative Investment Products
Cases in Financial Planning, Practical Aspects of Financial Planning (cases/examples)
Ethics and Investor Protection, Safeguards in Mutual Fund Structure, Regulatory Steps for Protecting Investors Against Fraud


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